

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Confirmation No: 8095

KOZAWA et al.

Art Unit: 1712

Serial No. 10/005,347

Examiner: K. L. Peng

Filed: December 7, 2001

Attorney Docket No.: 100021-00065

For: SILICON-CONTAINING POLYMER, PROCESS FOR ITS PRODUCTION,  
RESIST COMPOSITION EMPLOYING IT, PATTERN-FORMING METHOD AND  
ELECTRONIC DEVICE FABRICATION METHOD

RESPONSE TO RESTRICTION REQUIREMENT

Commissioner for Patents  
Washington, D.C. 20231

May 7, 2003

Sir:

In response to the Restriction Requirement dated April 16, 2003, Applicants wish to point out that the Restriction Requirement has been issued in error. Applicants note that through box 4 of the Continuing Application Transmittal Under Rule 1.53(b) dated December 7, 2001, a copy of which is attached hereto, claims 9-17 have been cancelled. Therefore, in that claims 1-8 have been properly submitted for prosecution, Applicants respectfully request withdrawal of the Requirement for Restriction.

Applicants urge that all claims are in proper condition for examination at this time.

In the event this paper is not timely filed, Applicants hereby petition for an appropriate extension of time. The fee for this extension may be charged to our Deposit Account No. 01-2300, along with any other additional fees which may be required with respect to this paper, referencing Attorney Docket No. 100021-00065.

Respectfully submitted,



Hans J. Crosby  
Reg. No. 44,634

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC  
1050 Connecticut Avenue, N.W., Suite 600  
Washington, D.C. 20036-5339  
Tel: (202) 857-6000  
Fax: (202) 638-4810

Enclosure: Continuing Application Transmittal Under Rule 1.53(b) dated December 7, 2001

**CONTINUING APPLICATION TRANSMITTAL UNDER RULE 1.53(b)  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No. 004372  
 PARENT FOX KINTNER PLOTKIN & KAHN, PLLC  
 1050 Connecticut Avenue, N.W., Suite 400  
 Washington, D.C. 20036-5339  
 Telephone: (202) 857-6000  
 Facsimile: (202) 638-4810

Docket No. 100021-00065

Date: December 7, 2001

Commissioner for Patents  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a

Continuation

Divisional

Continuation-in-Part

application of prior pending Application No. \_\_\_\_\_, filed \_\_\_\_\_,

For (Title): SILICON-CONTAINING POLYMER, PROCESS FOR ITS PRODUCTION,  
RESIST COMPOSITION EMPLOYING IT, PATTERN-FORMING METHOD  
AND ELECTRONIC DEVICE FABRICATION METHOD

By (Inventors): 1) Miwa KOZAWA 2) Keiji WATANABE 3) Ei YANO

1.  A Declaration and Power of Attorney is attached. The attached Declaration and Power of Attorney is:

- a. A copy of the Declaration and Power of Attorney from the parent application. (Used with the same or fewer inventors and (a) a copy of the prior application or (b) a revised, reformatted or edited version of the prior application that does not contain new matter.)
- b. A new Declaration and Power of Attorney. (Used with the same, fewer or additional inventors and (a) a copy of the prior application, (b) a revised, reformatting or edited version of the prior application that does not contain new matter, or (c) a new specification.)

2.  The filing fee based on entry of the concurrently filed Preliminary Amendment is calculated below:

**CLAIMS IN THE APPLICATION AFTER ENTRY OF  
ANY PRELIMINARY AMENDMENT NOTED BELOW**

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	8 - 20	= 0
INDEP CLAIMS	2 - 3	= 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference is less than zero, enter "0".

**SMALL ENTITY**

RATE	FEES
	\$ 370
x 9 =	\$
x 42 =	\$
+140 =	\$
TOTAL	\$

**OTHER THAN A  
SMALL ENTITY**

RATE	FEES
	\$ 740
x 18 =	\$
x 84 =	\$
+280 =	\$ 280
TOTAL	\$ 1,020

3.  A Check in the amount of \$1,020.00 to cover the filing fee is attached. The Commissioner is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 01-2300.
4.  Cancel claims 9-17 of the application before calculating the filing fee. At least one independent claim is retained for filing purposes.
5.  Amend the specification by inserting before the first line the sentence:

--This is a Division of Application No. 09/553,479 filed April 20, 2000. The disclosure of the prior application is hereby incorporated by reference herein in its entirety. --

**RECEIVED**  
**MAY 08 2003**  
**GROUP 1700**

6.  Formal drawings (Figs. 1-3) are attached.
7.  Priority of foreign application Nos. 11-116517 filed April 23, 1999, and 2000-082613 filed March 23, 2000, in Japan is claimed under 35 U.S.C. §119 and/or §365(b).
8.  The certified copy was filed in prior Application No. 09/553,479 on July 13, 2000.
9.  A certified copy of the above foreign application(s) is attached.
10.  Priority of U.S. Provisional Application(s) No. \_\_\_\_\_ filed \_\_\_\_\_ is claimed under 35 U.S.C. §119(e).
11.  Amend the specification by inserting before the first line the sentence:  
--This nonprovisional application claims the benefit of U.S. Provisional Application(s) No. \_\_\_\_\_ filed \_\_\_\_\_. --
12.  The prior application is assigned of record to Fujitsu Limited recorded at Reel 010745, Frames 0352-0353 on April 20, 2000.
13.  This application is filed by fewer than all the inventors named in the prior application (37 C.F.R § 1.53(b)(1)). Delete the following inventor(s) named in the prior application:
14.  A Preliminary Amendment is attached.
15.  An Information Disclosure Statement is attached along with Form PTO-1449 and \_\_\_\_\_ references.
16.  Small entity status:
  - a. A small entity statement is attached.
  - b. A small entity statement was filed in the parent application and such status is still proper and desired.
  - c. Small entity status is no longer claimed.
17.  Other: \_\_\_\_\_
18.  The Power of Attorney in the application is to:  

Robert B. Murray, Reg. No. 22,980; Charles M. Marmelstein, Reg. No. 25,895; George E. Oram, Jr., Reg. No. 27,931; Douglas H. Goldhush, Reg. No. 33,125; Richard J. Berman, Reg. No. 39,107; Murat Ozgu, Reg. No. 44,275; Robert K. Carpenter, Reg. No. 34,794; Gregory B. Kang, Reg. No. 45,273; Rustan Hill, Reg. No. 37,351; Hans J. Crosby, Reg. No. 44,634; Brian A. Tollefson, Reg. No. 46,338; David D. Dzara, Reg. No. 47,543; Lynne D. Anderson, Reg. No. 46,412; Michael A. Steinberg, Reg. No. 43,160 and Lynn A. Bristol, Reg. No. 48,898.
19.  Address all future communications to:

CUSTOMER NO. 004372  
ARENT FOX KINTNER PLOTKIN & KAHN, PLLC  
1050 CONNECTICUT AVENUE, N.W., SUITE 400  
WASHINGTON, D.C. 20036-5339

Respectfully submitted,

**SIGNATURE** *[Signature]* **ORIGINAL**  
Hans J. Crosby  
Registration No. 44,634

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC  
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Washington, D.C. 20036-5339  
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